

COMMON APPLICATION FORM FOR EQUITY ORIENTED SCHEMES (Please fill in BLOCK Letters)

ARN & Name of Distributor	Branch Code (only for SBG)	Sub-Broker ARN Code	Sub-Broker Code	EUIN* (Employee Unique Identification Number)	Reference No.
ARN-109217				E150257	

Declaration for "execution-only" transaction (only where EUIN box is left blank) (Refer Instruction 1 (p))

* I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

SIGNATURE(S)			
	1st Applicant / Guardian / Authorised Signatory	2nd Applicant / Authorised Signatory	3rd Applicant / Authorised Signatory

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor

TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS/AGENTS ONLY (SEE NOTE 16)

In case the subscription amount is Rs. 10,000/- or more and if your Distributor has opted to receive Transaction Charges, Rs. 150 (for first time mutual fund investor) or Rs. 100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested.

1. PARTICULARS OF FIRST APPLICANT

(SEE NOTE 1)

☐ I confirm that I am a **First time** investor across Mutual Funds
 ☐ I confirm that I am an **existing** investor in Mutual Funds

EXISTING FOLIO NO. (For Existing unitholders: Please mention your Folio number, Name and PAN details and then proceed to Investment and Payment details- 8)

Name (Mr./Ms./M/s.)

Gender ☐ Male ☐ Female ☐ Other (Third Gender) **Date of Birth**

Father's Name

Spouse's Name

Name of Guardian / Name of Contact Person (in case of Minor) (in case of Institutional Investor)

Relationship of Guardian in case of Minor [Please mandatorily enclose the document evidencing the relationship of Minor with Guardian (See Note 1 h)] ☐ Father ☐ Mother ☐ Legal Guardian
 (In case of Minor, please fill the following details of Guardian)

Email ID

Mobile No. County Code

Please register your E-mail address & Mobile number to get alerts & communication via E-mail & SMS.

Telephone (O) County Code **Mandatory Enclosures** ☐ PAN Proof ☐ KYC Acknowledgement

Telephone (R) County Code

Type of address given at KRA ☐ Residential ☐ Business ☐ Registered Office

Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes.

PAN **PAN Exempt KYC Ref no (PEKRN for Micro investments) -**

AADHAAR No **Type of Identification Document given at KRA**

Identification Document No. **Document Issuing Country**

Occupation ☐ Professional ☐ Business ☐ Government Service ☐ Private Sector Service ☐ Public Sector Service ☐ Agriculturist
 (Please (✓)) ☐ Retired ☐ Housewife ☐ Student ☐ Forex Dealer ☐ Doctor ☐ Others [Please specify]

Gross Annual Income in Rs. (Please tick (✓)): ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs - 1 Cr. ☐ > 1 Cr. **OR**

Networth in Rs. **as of (date)**

Politically Exposed Person [PEP]: ☐ Yes ☐ No ☐ Related to PEP

For Non-individuals: Is the entity involved / providing any of the following services ☐ Yes ☐ No

- For Foreign Exchange / Money Changer Services ☐ Yes ☐ No - Gaming / Gambling / Lottery Services (e.g. Casinos, Betting Syndicates) ☐ Yes ☐ No
 - Money Lending / Pawning ☐ Yes ☐ No

NOTE: Non-individual applicants should mandatorily fill Annexure - I alongwith this form.

2. PARTICULARS OF SECOND APPLICANT

(SEE NOTE 1 & 2)

Name Mr./Ms./M/s.

Gender ☐ Male ☐ Female ☐ Other (Third Gender) **Date of Birth**

Father's Name

Spouse's Name

Type of address given at KRA ☐ Residential ☐ Business ☐ Registered Office

Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes.

Investors subscribing to the scheme through SIP must complete Registration cum Mandate form compulsorily alongwith application form

SBI MUTUAL FUND A PARTNER FOR LIFE		Sponsor : State Bank of India Investment Manager : SBI Funds Management Pvt. Ltd. (A Joint Venture between SBI & AMUNDI)		ACKNOWLEDGEMENT SLIP To be filled in by the Investor		APPLICATION NO.	
(To be filled in by the First applicant/Authorized Signatory) :							
Received from : <input type="text"/>							
Scheme Name	Plan (✓)	Option (✓)	Dividend Facility(✓)	Cheque/DD Amount (Rs.)	Bank and Branch	Cheque / DD No. & Date	Signature, Date & Stamp
	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	<input type="checkbox"/> Growth <input type="checkbox"/> Dividend	<input type="checkbox"/> Reinvestment <input type="checkbox"/> Payout <input type="checkbox"/> Transfer				
Attachments							

All purchases are subject to realisation of cheque / demand draft

PAN		Mandatory Enclosures	<input type="checkbox"/> PAN Proof	<input type="checkbox"/> KYC Acknowledgement
AADHAAR No		PAN Exempt KYC Ref no (PEKRN for Micro investments) -		
Occupation (Please (✓))	<input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Government Service <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Doctor <input type="checkbox"/> Others [Please specify]	Type of Identification Document given at KRA		
Gross Annual Income in Rs. (Please tick (✓)):	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> 25 Lacs - 1 Cr. <input type="checkbox"/> > 1 Cr.	Identification Document No.		
Networth in Rs.		Document Issuing Country		
Politically Exposed Person [PEP] :	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Related to PEP	as of (date) D D M M Y Y Y Y		

3. PARTICULARS OF THIRD APPLICANT (SEE NOTE 1 & 2)

Name	
Mr./Ms./M/s.	
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other (Third Gender)
Date of Birth	D D M M Y Y Y Y
Father's Name	
Spouse's Name	
Type of address given at KRA	<input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office
Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes.	

PAN		Mandatory Enclosures	<input type="checkbox"/> PAN Proof	<input type="checkbox"/> KYC Acknowledgement
AADHAAR No		PAN Exempt KYC Ref no (PEKRN for Micro investments) -		
Occupation (Please (✓))	<input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Government Service <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Doctor <input type="checkbox"/> Others [Please specify]	Type of Identification Document given at KRA		
Gross Annual Income in Rs. (Please tick (✓)):	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> 25 Lacs - 1 Cr. <input type="checkbox"/> > 1 Cr.	Identification Document No.		
Networth in Rs.		Document Issuing Country		
Politically Exposed Person [PEP] :	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Related to PEP	as of (date) D D M M Y Y Y Y		

4. FATCA & CRS RELATED INFORMATION (Only for Individuals/Proprietor)

DETAILS OF FIRST APPLICANT

Country of Birth		Place of Birth	
Nationality			
Are you a tax resident of any country other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No		

If Yes, please indicate all countries in which you are resident for tax purposes and the associated Tax Identification Numbers below:

Country (also include USA, where the individual is a citizen/ green card holder of USA)	Tax Payer Identification Number *	Identification Type (TIN or Other, please specify)

* It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

(Please attach additional sheets if necessary and mention all countries in which applicant is a tax resident & provide relevant details)

DETAILS OF SECOND APPLICANT

Country of Birth		Place of Birth	
Nationality			
Are you a tax resident of any country other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No		

If Yes, please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below:

Country (also include USA, where the individual is a citizen/ green card holder of USA)	Tax Payer Identification Number	Identification Type (TIN or Other, please specify)

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

(Please attach additional sheets if necessary and mention all countries in which applicant is a tax resident & provide relevant details)

TEAR HERE

Any communication in connection with this application should be addressed to the Registrar or the Invesment Manager

Investment Manager :

SBI Funds Management Pvt. Ltd.
(A Joint Venture between SBI & AMUNDI)
9th Floor, Crescenzo, C-38 & 39,
G Block, Bandra Kurla Complex,
Bandra (East), Mumbai – 400 051
Tel: 022- 61793511
Email: customer.delight@sbimf.com

Registrar:

Computer Age Management Services Pvt. Ltd.,
SEBI Registration No. : INR000002813)
Rayala Towers, 158, Anna Salai, Chennai – 600 002
Tel: 044 – 28881101 / 36
Email: enq_L@camsonline.com
Website: www.camsonline.com

DETAILS OF THIRD APPLICANT

Country of Birth

Place of Birth

Nationality

Are you a tax resident of any country other than India? ☐ Yes ☐ No

If Yes, please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below:

Country (also include USA, where the individual is a citizen/ green card holder of USA)	Tax Payer Identification Number	Identification Type (TIN or Other, please specify)

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.
(Please attach additional sheets if necessary and mention all countries in which applicant is a tax resident & provide relevant details)

5. GENERAL INFORMATION – Please (✓) wherever applicable (SEE NOTE 1 m & n)

Tax Status (Please (✓))				Mode of Holding (✓)
<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Sole-Proprietor	<input type="checkbox"/> Government Body	<input type="checkbox"/> NGO	<input type="checkbox"/> Single
<input type="checkbox"/> Resident Minor (through Guardian)	<input type="checkbox"/> Public Limited Company	<input type="checkbox"/> Society	<input type="checkbox"/> LLP	<input type="checkbox"/> Joint
<input type="checkbox"/> NRI (Repatriable)	<input type="checkbox"/> Private Limited Company	<input type="checkbox"/> Trust	<input type="checkbox"/> PIO	<input type="checkbox"/> Any one or Survivor
<input type="checkbox"/> NRI (Non-Repatriable)	<input type="checkbox"/> Body Corporate	<input type="checkbox"/> NPS Trust	<input type="checkbox"/> NPO	
<input type="checkbox"/> NRI– Minor (Repatriable)	<input type="checkbox"/> Partnership Firm	<input type="checkbox"/> Fund of Fund	[Please specify]	
<input type="checkbox"/> NRI – Minor (Non-Repatriable)	<input type="checkbox"/> FII / FPI	<input type="checkbox"/> Gratuity Fund		
<input type="checkbox"/> Pension and Retirement Fund	<input type="checkbox"/> HUF	<input type="checkbox"/> AOP	<input type="checkbox"/> Others	
<input type="checkbox"/> Financial Institutions	<input type="checkbox"/> Bank	<input type="checkbox"/> BOI	[Please specify]	

6. CONTACT DETAILS (SEE NOTE 1)

Local Address of 1st Applicant

City

State

Address for Correspondence for NRI Applicants only (Please (✓)) Indian by Default ☐ Foreign ☐

Foreign Address
(Mandatory for NRI / FII)

City

Country

7. BANK PARTICULARS (As per SEBI Regulations it is mandatory for Investors to provide their bank account details) (SEE NOTE 3)

Name of Bank

Branch Name and Address

City

Account No.

9 digit MICR Code

(This is 9 digit number next to the cheque number. Please provide a copy of CANCELLED cheque leaf)

IFSC Code

Account Type (Please ✓)
☐ Savings ☐ NRO ☐ FCNR
☐ Current ☐ NRE ☐ Others

8. INVESTMENT AND PAYMENT DETAILS : I/We would like to invest in the following Scheme of SBI Mutual Fund (SEE NOTE 5)

☐ One time Investment ☐ Systematic Investment Plan (SIP) (if Yes, please tick any one)
☐ PDC (Incase of SIP through Post Dated Cheques (PDC) it is mandatory to submit Transaction Slip mentioning PDC details)
☐ Auto Debit / ECS (Incase of SIP through ECS/Auto Debit mode it is mandatory to submit SIP Enrolment Cum Auto Debit/ECS Mandate Form)

Scheme Name			
Plan (Please ✓)	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	In case of Dividend Transfer facility, please mention target scheme along with plan/option.	
Option (Please ✓)	<input type="checkbox"/> Growth <input type="checkbox"/> Dividend		
Dividend Facility (Please ✓)	<input type="checkbox"/> Reinvestment <input type="checkbox"/> Payout <input type="checkbox"/> Transfer	Scheme / Plan / Option	

Cheque / DD Amount (Rs.)	Drawn on Bank and Branch	Cheque / D.D. No. & Date
Investment Amount (Rs. in Figures)	Investment Amount (Rs. in Words)	

For third party cheques please see Note 3 vii.

9. STP ENROLLMENT DETAILS		Opted for STP: <input type="checkbox"/> Yes <input type="checkbox"/> No		(If Yes, it is mandatory to submit STP Enrollment Form/Transaction slip)	
10. DEMAT ACCOUNT DETAILS					
If you wish to hold units in Demat mode, please provide below details and enclose the latest Client Master / Demat Account Statement (Mandatory). Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant.					
National Securities Depository Limited (NSDL)			Central Depository Services (India) Limited (CDSL)		
Depository Participant Name			Depository Participant Name		
DP ID No.			Target ID No.		
Beneficiary Account No.					
Please note wherever units are allotted in Demat Mode, Statement of Account will be issued by the Depository concerned. Further allotment of units (through additional purchase / SIP) in the same scheme/plan will be allotted in Demat mode and investors can do further transactions through their Depository Participant only.					
11A. NOMINATION : I wish to nominate the following person/s to receive the proceeds in the event of my death. (With effect from 01/04/2011, for individual investors applying with single holding, Nomination is mandatory. However, in case you do not wish to nominate please sign point 11 B.) (SEE NOTE 10)					
Name of the Nominee			<div>⊗</div> Signature of Nominee/Guardian (*Mandatory in case of Minor nominee)		
Name of the Guardian					
Percentage					
Relationship					
Date of Birth* D D M M Y Y Y Y					
Address of Nominee/Guardian					
Name of the Nominee			<div>⊗</div> Signature of Nominee/Guardian (*Mandatory in case of Minor nominee)		
Name of the Guardian					
Percentage					
Relationship					
Date of Birth* D D M M Y Y Y Y					
Address of Nominee/Guardian					
Name of the Nominee			<div>⊗</div> Signature of Nominee/Guardian (*Mandatory in case of Minor nominee)		
Name of the Guardian					
Percentage					
Relationship					
Date of Birth* D D M M Y Y Y Y					
Address of Nominee/Guardian					
11B. NOMINATION : I do not wish to nominate any person at the time of making the investment.					
Signature					
12. DECLARATION (SEE NOTE 11) : I/We confirm that the information provided in this form is true & accurate. I/We have read and understood the contents of all the scheme related documents and I/We hereby confirm and declare that (i) I/We have not received or been induced by any rebate or gifts, directly or indirectly, in making this investment; (ii) the amount invested/to be invested by me/us in the scheme(s) of SBI Mutual Fund ("the Fund") is derived through legitimate sources and is not held or designed for the purpose of contravention of any act, rules, regulations or any statute or legislation or any other applicable laws or any notifications, directions issued by any governmental or statutory authority from time to time; (iii) the monies invested by me in the schemes of the Fund do not attract the provisions of Foreign Contribution Regulations Act ("FCRA"); (iv) I/We am/are aware that a U.S. person (within the definition of the term 'US Person' under the US Securities laws) / resident of Canada are not eligible for investments with the Fund and I/We am/are not a U.S. person/resident of Canada; (v) the ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/her for the different competing schemes of various mutual funds from amongst which a scheme of the Fund is being recommended to me/us; (vi) * as per the Memorandum and Articles of Association of the Company, Bye laws, Trust Deed or Partnership Deed and resolutions passed by the Company / Firm / Trust, I/We am/are authorised to enter into the transactions for and on behalf of the Company/Firm/Trust; (vii) ** I/We am/are Non Resident of Indian Nationality/Origin and that funds for the subscriptions have been remitted from abroad through approved banking channels or from my/our Non Resident External/Ordinary account/FCNR Account; (viii) *** I/We do not hold a Permanent Account Number and hold only a single PAN Exempt KYC Reference No. (PEKRN) issued by KYC Registration Agency and also confirm that the aggregate of lump sum and SIP installments in a rolling 12 months period or financial year does not exceed Rs. 50,000/- (Rupees Fifty Thousand); (ix) all information provided in this application form together with its annexures is/are true and correct to the best of my/our knowledge and belief and I/We shall be liable in case any of the specified information is found to be false or untrue or misleading or misrepresenting; (x) that we authorize you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to the Fund, its Sponsor, AMC, trustees, their employees/RTAs or any Indian or foreign governmental or statutory or judicial authorities/agencies including but not limited to SEBI, the Financial Intelligence Unit-India, the tax/revenue authorities in India or outside India wherever it is legally required and other such regulatory/investigation agencies or such other third party, on a need to know basis, without any obligation of advising me/us of the same; (xi) I/We shall keep you forthwith informed in writing about any changes/modification to the information provided or any other additional information as may be required by you from time to time; (xii) Towards compliance with tax information sharing laws, such as FATCA and CRS: (a) the Fund may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from investors. I/We ensure to advise you within 30 days should there be any change in any information provided; (b) In certain circumstances (including if the Fund does not receive a valid self-certification from me) the Fund may be obliged to share information on my account with relevant tax authorities; (c) I/We am aware that the Fund may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto; (d) as may be required by domestic or overseas regulators/ tax authorities, the Fund may also be constrained to withhold and pay out any sums from my/our account or close or suspend my account(s) and (e) I/We understand that I am / we are required to contact my tax advisor for any questions about my/our tax residency;					
* Applicable to other than Individuals / HUF; ** Applicable to NRIs; *** Applicable to "Micro investments"					
SIGNATURE(S) (ALL Applicants must sign)					
⊗		⊗		⊗	
1st Applicant / Guardian / Authorised Signatory		2nd Applicant / Authorised Signatory		3rd Applicant / Authorised Signatory	
Date				Place	

ANNEXURE I - DETAILS OF ULTIMATE BENEFICIAL OWNER/ CONTROLLING PERSON INCLUDING ADDITIONAL FATCA & CRS INFORMATION

Name of the Entity

Customer ID / Folio Number

PAN

Date of incorporation

Type of address given at KRA

Residential

Business

Registered Office

"Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes"

Type of Identification Document given at KRA

Identification Document No.

Document Issuing Country

Place of incorporation

Country of incorporation

Entity Constitution Type

Please tick as appropriate

☐ Partnership Firm ☐ HUF ☐ Private Limited Company ☐ Public Limited Company ☐ Society ☐ AOP/BOI
☐ Trust ☐ Liquidator ☐ Limited Liability Partnership ☐ Artificial Juridical Person ☐ Others specify _____

Please tick the applicable tax resident declaration -

 1. Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No

(If yes, please provide all countries in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Country	Tax Identification Number%	Identification Type (TIN or Other, please specify)

%
 In case Tax Identification Number is not available, kindly provide its functional equivalent. It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form
 In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

 In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code⁸ here

FATCA & CRS Declaration

(Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

1. We are a: ☐ GIIN ☐ Financial institution¹ or ☐ Direct reporting NFE² (please tick as appropriate)

Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below

Name of sponsoring entity

GIIN not available (please tick as applicable) ☐ Applied for (Applicable only for Financial Institutions) ☐ Not required to apply for - please specify 2 digits sub-category³ ☐ Not obtained – Non-participating FI

PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

1. Is the Entity a publicly traded company⁴ (that is, a company whose shares are regularly traded on an established securities market) Yes ☐ (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange _____

2. Is the Entity a related entity⁵ of a publicly traded company (a company whose shares are regularly traded on an established securities market) Yes ☐ (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Name of listed company _____ Nature of relation: ☐ Subsidiary of the Listed Company or ☐ Controlled by a Listed Company Name of stock exchange _____

3. Is the Entity an active⁶ NFE Yes ☐ (If yes, please fill UBO declaration in the next section.) Nature of Business _____ Please specify the sub-category of Active NFE (Mention code – refer 2c of Part D)

4. Is the Entity a passive⁷ NFE Yes ☐ (If yes, please fill UBO declaration in the next section.) Nature of Business _____

Category (Please tick applicable category): ☐ Unlisted Company ☐ Partnership Firm ☐ Limited Liability Partnership Company
☐ Unincorporated association / body of individuals ☐ Public Charitable Trust ☐ Religious Trust ☐ Private Trust
☐ Others (please specify _____)

Please list below details of each controlling person(s)¹⁰, confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s). (Please attach additional sheets if necessary).

S.No.	1	2	3
Name of Beneficial Owner / Controlling Person			
Percentage of Beneficial Interest			
Gender			
Date of Birth			
Father's Name			
Country of Birth			
Place of Birth			
Nationality			
PAN			
Country of Tax Residency *			
Tax ID No Or Equivalent for each country %			
Tax ID Type (TIN or Other)			
Type Code (CP/UBO Code) ⁹			
Occupation Type			
Address Type			
Address			
ZIP			
State			
Country			

*Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India:
 * To include US, where controlling person is a US citizen or green card holder
 %It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form

FATCA - CRS Terms and Conditions
<p>The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank/Mutual Fund to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.</p> <p>Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.</p> <p>Please note that you may receive more than one request for information if you have multiple relationships with SBI Mutual Fund or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.</p> <p>If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.</p>

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions and Definitions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

Name		1st Authorised Signatory
Designation		
Name		2nd Authorised Signatory
Designation		
Name		3rd Authorised Signatory
Designation		
Place _____		Date / / _____

TRANSACTION SLIP (Please fill in BLOCK Letters)

ARN & Name of Distributor	Branch Code (only for SBG)	Sub-Broker ARN Code	Sub-Broker Code	EUIN* (Employee Unique Identification Number)	Reference No.
ARN-109217				E150257	

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* I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

SIGNATURE(S)			
1st Applicant / Guardian / Authorised Signatory	2nd Applicant / Authorised Signatory	3rd Applicant / Authorised Signatory	

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INVESTOR DETAILS (MANDATORY)

EXISTING FOLIO NO.

Name (Mr/Ms/M/s)

Email ID

Mobile No.

Telephone No.

PAN DETAILS

First Applicant / Guardian	Second Applicant	Third Applicant
<div></div>	<div></div>	<div></div>
Mandatory Enclosures	Mandatory Enclosures	Mandatory Enclosures
<div><input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Acknowledgement</div>	<div><input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Acknowledgement</div>	<div><input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Acknowledgement</div>
PAN Exempt KYC Ref no (PEKRN for Micro investments) - <div></div>	PAN Exempt KYC Ref no (PEKRN for Micro investments) - <div></div>	PAN Exempt KYC Ref no (PEKRN for Micro investments) - <div></div>

ADDITIONAL PURCHASE REQUEST

Scheme Name			
Plan (Please ✓)	<div><input type="checkbox"/> Regular <input type="checkbox"/> Direct</div>	In case of Dividend Transfer facility, please mention target scheme along with plan/option.	
Option (Please ✓)	<div><input type="checkbox"/> Growth <input type="checkbox"/> Dividend</div>	Scheme / Plan / Option	
Dividend Facility (Please ✓)	<div><input type="checkbox"/> Reinvestment <input type="checkbox"/> Payout <input type="checkbox"/> Transfer</div>		
Cheque / DD Amount (Rs.)	Drawn on Bank and Branch	Cheque / D.D. No. & Date	
Investment Amount (Rs. in Figures)	Investment Amount (Rs. in Words)		

DEMAT ACCOUNT DETAILS

If you wish to hold units in Demat mode, please provide below details and enclose the latest Client Master / Demat Account Statement (Mandatory). Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant.

National Securities Depository Limited (NSDL)	Central Depository Services (India) Limited (CDSL)
Depository Participant Name <div></div>	Depository Participant Name <div></div>
DP ID No. <div></div>	Target ID No. <div></div>
Beneficiary Account No. <div></div>	

Please note wherever units are allotted in Demat Mode, Statement of Account will be issued by the Depository concerned. Further allotment of units (through additional purchase / SIP) in the same scheme/plan will be allotted in Demat mode and investors can do further transactions through their Depository Participant only.

SWITCH REQUEST

Amount <div></div>	OR Number of Units <div></div>	OR <input type="checkbox"/> All units (Please ✓)
From Scheme <div></div>	To Scheme <div></div>	
<div><div>Plan (✓)<div><input type="checkbox"/> Regular <input type="checkbox"/> Direct</div></div><div>Option (✓)<div><input type="checkbox"/> Growth <input type="checkbox"/> Dividend</div></div></div>	<div><div>Plan (✓)<div><input type="checkbox"/> Regular <input type="checkbox"/> Direct</div></div><div>Option (✓)<div><input type="checkbox"/> Growth <input type="checkbox"/> Dividend</div></div></div>	<div><div>Dividend Facility(✓)<div><input type="checkbox"/> Reinvestment <input type="checkbox"/> Payout <input type="checkbox"/> Transfer</div></div></div>
In case of Dividend Transfer facility, please mention target scheme along with plan/option. Scheme / Plan / Option <div></div>		

REDEMPTION REQUEST

Scheme <div></div>			
Plan (✓) <div><input type="checkbox"/> Regular <input type="checkbox"/> Direct</div>	Option (✓) <div><input type="checkbox"/> Growth <input type="checkbox"/> Dividend</div>		
Amount <div></div>	OR Number of Units <div></div>	OR <input type="checkbox"/> All units (Please ✓)	

TRANSACTION SLIP - ACKNOWLEDGEMENT

Sponsor : State Bank of India,
Investment Manager : SBI Funds Management Pvt. Ltd.
(A Joint Venture between SBI & AMUNDI)

Folio No. To be filled in by the Investor

(To be filled in by the First applicant/Authorized Signatory) :					Stamp Signature & Date
Received from <div></div>	Scheme Name /Plan/Option/Dividend Facility		Amount	Units	
Additional Purchase / Redemption	Scheme Name /Plan/Option/Dividend Facility		Amount (Rs.)	Frequency	SIP/SWP Date
Systematic Investment Plan / Withdrawal Plan	Scheme Name /Plan/Option/Dividend Facility		Amount	Frequency	SIP/SWP Date
Systematic Transfer Plan / Switch Over	Scheme Name /Plan/Option/Dividend Facility		Amount	Units	STP Commencement Date
<div><input type="checkbox"/> Change of Address (Please ✓)</div>					

